



07-16-02

GP/2814/\$

PATENT

Attorney Docket No. MTI-31041-A

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of : Ping, et al.  
Serial No. : 10/046,497  
Filing Date : October 26, 2001  
For : Method For Forming Raised Structures by Controlled Selective  
Epitaxial Growth of Facet Using Spacer  
Group Art Unit : 2814  
Examiner : LE, Thao X.  
Confirmation No. : 8624

TECHNOLOGY CENTER 2800

JUL 22 2002

RECEIVED

**CERTIFICATION UNDER 37 CFR 1.8(a) and 1.10**

I hereby certify that, on the date shown below, this correspondence is being:

**Mailing**

☒ deposited with the United States Postal Service in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

**37 CFR 1.8(a)**

**37 CFR 1.10**

☐ with sufficient postage as first class mail ☒ As "Express Mail Post Office to Addressee" Mailing Label No. EV 048318036 US

**Transmission**

☐ transmitted by facsimile to Fax No. \_\_\_\_\_ addressed to Examiner \_\_\_\_\_ at the US Patent and Trademark Office

Date: \_\_\_\_\_

7-15-2

Assistant Commissioner for Patents  
Washington, D.C. 20231

**TRANSMITTAL**

- Transmitted herewith is:  
Response to Restriction Requirement and Preliminary Amendment (4 pages)  
Replacement sheets for Claims (11 pages)  
Blacklined version of Claims (11 pages)  
Check in the amount of \$1,224  
Return Postcard

**STATUS**

- Applicant is a large entity.

**EXTENSION OF TERM**

3. The proceedings herein are for a patent application and the provisions of 37 CFR 1.136 apply.

☒ Applicant believes that no extension of term is required. However, if an extension of time is required, please consider this a petition therefor.

☐ Applicant petitions for an extension of time under 37 C.F.R. ' 1.136 for the total number of months checked below [fees: 37 C.F.R. ' 1.17(a)(1)-(4)] :

Extension (months)	Fee for other than <u>small entity</u>	Fee for <u>small entity</u>
<input type="checkbox"/> one month	\$ 110.00	\$ 55.00
<input type="checkbox"/> two months	\$ 390.00	\$ 195.00
<input type="checkbox"/> three months	\$ 890.00	\$ 445.00
<input type="checkbox"/> four months	\$ 1,390.00	\$ 695.00

Fee: \$0.00

**FEE FOR CLAIMS**

4. The fee for claims (37 C.F.R. 1.16(b)-(d)) has been calculated as shown below:

Claims Remaining After Amendment		Highest Number Previously Paid For		Rate (Small Entity)	Additional Fee or	Rate (Large Entity)	Additional Fee
Total 95	Minus	55	=	____ x 9= \$	\$	40 x 18	\$720.00
Independent 13	Minus	7	=	____ x 42= \$	\$	6 x 84	\$504.00

**FIRST PRESENTATION OF MULTIPLE DEP CLAIM**

TOTAL  
ADDIT. Fee \$

or TOTAL  
ADDIT. Fee \$1,224.00

c. ☐ No additional fee for claims is required.

d. ☒ Total additional fee for claims required \$1,224.00

**FEE DEFICIENCY**

5. If any additional extension and/or fee is required, charge Account No. 23-2053.  
If any additional fee for claims is required, charge Account No. 23-2053.

Date: July 15, 2002

Kristine M Strodthoff  
Kristine M. Strodthoff  
Reg. No. 34,259

Whyte Hirschboeck Dudek S.C.  
111 East Wisconsin Avenue  
Suite 2100  
Milwaukee, WI 53202  
(414) 273-2100  
Customer No. 31870